

Attorney Docket No. CF/072

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
PATENT APPLICATION

Applicant : Timothy H. Heaton  
Application No.: Not Yet Known  
Filed : Herewith  
For : GRAPHICAL USER INTERFACE RELATED TO NON-STANDARD TRADING OF FINANCIAL INSTRUMENTS

New York, New York  
September 11, 2003

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

PRELIMINARY AMENDMENT

Sir:

Preliminary to the examination, applicant hereby amends the above-identified application as follows:

**Amendments to the Claims** is reflected in the listing of claims which begins on page 2 of this paper.

**Remarks** begin on page 7 of this paper.